

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**NONPROVISIONAL PATENT APPLICATION**

**For**

**INTEGRATED CIRCUIT PACKAGING APPARATUS AND METHOD**

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This Application claims the benefit of and priority to United States Patent Application Ser. No. 60/461,020, filed on April 7, 2003, the entire disclosure of which is incorporated herein by reference.

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**BACKGROUND AND SUMMARY**

This patent document generally relates to the packaging of Integrated Circuits (ICs), passive components, and Micro Electro Mechanical Systems (MEMS), and in particular relates to a three-dimensional packaging system that can be used to electrically interconnect various types of microelectronic devices.

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There are multiple existing methods for packaging ICs and MEMS devices with other electronic components. Multiple component packages can be used to package a variety of components and chips together, and single component packages can be used to assemble single components onto a printed circuit board or connector. These single-chip and multi-chip packages can be fabricated out of a variety of materials such as ceramic, laminate material, plastic, or silicon. The package can be constructed on top of the chip ("chips first") or the chip can be attached to the package ("chips last"). An interconnect layer comprising a silicon substrate layer having conductive vias may have one or more ICs or MEMS attached to one or both sides of the silicon substrate layer.

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Depending on the application of the device that is to be constructed by a multiple component package, it may be desirable to package ICs and MEMS components on one side of a substrate, on both sides of the substrate, within the substrate, or over a cavity in the substrate. For example, if an accelerometer is to be constructed, one possible design is to mount a MEMS accelerometer over a cavity in the substrate, and mount an IC operable to communicate with the MEMS accelerometer on the other side of the substrate.

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An integrated circuit apparatus for facilitating the interconnection of one or more circuitry manufacture comprises a carrier substrate, one or more carrier substrate vias, and one or more carrier substrate cavities. The carrier substrate defines a top surface and a bottom surface and is configured to receive one or more circuitry manufacture on the top surface and the bottom surface. The one or more carrier substrate vias penetrate the carrier substrate so that the carrier substrate vias define vias from the top surface to the bottom surface of the carrier substrate and further define interior via surfaces. The carrier substrate vias are configured to receive one or more circuitry manufacture on the interior via surfaces. The one or more carrier substrate cavities are formed on the top and/or bottom surfaces of the carrier substrate and define interior cavity surfaces and are configured to receive one or more circuitry manufacture on the interior cavity surfaces.

A method for facilitating the interconnection of one or more circuitry manufacture comprises providing a carrier substrate defining a top surface and a bottom surface; creating one or more carrier substrate vias penetrating the carrier substrate so that the carrier substrate vias define vias from the top surface to the bottom surface of the carrier substrate and further define interior via surfaces; and creating one or more carrier substrate cavities on the top and/or bottom surfaces of the carrier substrate so that the one or more carrier substrate cavities define interior cavity surfaces. The top and bottom surfaces of the carrier substrate, the interior via surfaces, and the interior cavity surfaces are configured to receive one or more circuitry manufacture.

An integrated circuit apparatus for facilitating the interconnection of one or more circuitry manufacture comprises means for defining a top carrier substrate surface and a bottom carrier substrate surface and for receiving one or more circuitry manufacture on the top carrier substrate surface and the bottom carrier substrate surface; means for defining vias from the top



A plurality of conductive vias **30a - 30e** may be created proximate to the cavities **20a** and **20b**. The conductive vias **30a - 30e** penetrate the carrier substrate **10** so that the conductive vias **30a - 30e** form vias from the top surface **12** to the bottom surface **14** of the carrier substrate **10**, and define inner peripheries **32a - 32e**. The conductive vias **30a - 30e** are typically not  
5 conductive without a conductive coating or core, as the conductive vias **30a - 30e** define the inner peripheries of the **32a - 32e** which are, in turn, surfaces of the carrier substrate **10**. Thus, the conductive vias **30a - 30e** have the same conductivity characteristic of the carrier substrate **10**. However, the conductive vias **30** may be later coated with a conductive material to form a conductive path from the top surface **12** to the bottom surface **14** of the carrier substrate **10**. The  
10 conductive vias **30a - 30e** may be created using industry standard laser or DRIE processes. Other methods of creating the vias **30a - 30e** may also be used.

The carrier substrate **10** is configured to receive circuitry manufacture on both the top surface **12** and the bottom surface **14**. The circuitry manufacture may include dielectric coatings, conductive materials, insulating materials, passive circuit components, active circuit  
15 components, ICs, MEMS, bonding materials, or other circuitry-related articles of manufacture. Similarly, the interior cavity surface **22a** and **22b** of the cavities **20a** and **20b** and the inner peripheries **32a - 32e** of the conductive vias **32a - 32e** are also configured to receive one or more circuitry manufacture. For example, a first circuitry manufacture, such as an IC, may be mounted on the carrier substrate **10** by one or more additional circuitry manufacture, such as an  
20 adhesive or solder bonding.

Depending on the particular substrate used to realize the carrier substrate **10**, a dielectric circuitry manufacture may be deposited on the carrier substrate **10** after the cavities **20** and conductive vias **30** are created. Fig. **2** is a cross section view of the carrier substrate **10** having a

deposited dielectric 40. The inner peripheries 32a - 32e of the vias 30a - 30e may be isolated by use of plasma enhanced chemical vapor deposition (PECVD) deposited dielectric 40 such as SiO<sub>2</sub> or by thermal oxidation. Additionally, as shown in Fig. 2, the top surface 12, the bottom surface 14, and the interior cavity surfaces 22a and 22b of the carrier substrate 10 may likewise be isolated by the deposited dielectric 40.

Fig. 3 is a cross section view of the carrier substrate 10 having conductive layers 50a - 50e deposited within the vias 30a - 30e. The conductive layers 50a - 50e in the vias 30a - 30e are typically deposited after the dielectric 40 is applied. The conductive layers 50a - 50e may be created by physical vapor deposition (PVD) sputtering techniques, CVD metallization, or by plating. In one embodiment, combination of sputtering followed by electroplating may be used to improve metal coverage and reduce the resistance.

The vias 30a - 30e are typically not completely filled with conductive material that comprises the conductive layers 50a - 50e, as indicated by the spaces 52a - 52e defined by the conductive layers 50a - 50e deposited along the inner periphery defined by the inner peripheries 32a - 32e and the dielectric 40. By depositing the conductive layers 50a - 50e only along the inner periphery defined by the inner peripheries 32a - 32e and the dielectric 40, thermal stresses caused by the coefficient of thermal expansion (CTE) mismatch between the conductive material and the substrate material are minimized. In another embodiment, however, the conductive vias 30a - 30e may be completely filled with the conductive material.

Illustrative conductive materials that may comprise the conductive layers 50a - 50e include silver, copper, aluminum, gold, platinum, and other conductive metals. Alternatively, conductive polymers or other conductive materials may also be used.

Conductive layers **62a - 62e** and **64a - 64e** are deposited on the top surface **12** to the bottom surface **14** of the carrier substrate **10** to form interconnections from the top surface **12** to the bottom surface **14**, respectively. The conductive layers **62a - 62e** and **64a - 64e** are deposited around the circumference of the openings of the vias **30a - 30e** and may be patterned using  
5 standard photolithography techniques common to the printed circuit board industry. Either an etch back or a patterned plating process may be used. The combination of cavities **20a** and **20b** and conductive vias **30a - 30e** facilitates denser packaging of circuitry manufacture.

While the conductive layers **62a - 62e** and **64a - 64e** are illustrated as extending around the entire circumference of the openings of the conductive vias **30a - 30e**, the conductive layers  
10 **62a - 62e** and **64a - 64e** may alternatively be patterned to extend only around a portion of the entire circumference of the openings of the conductive vias **30a - 30e**. For example, the conductive layer **62a** may be patterned to omit the portion of the conductive layer **62a** extending toward the cavity **20a**.

Silicon is typically used as the base substrate. Selecting the base substrate from silicon  
15 thermally matches the carrier substrate **10** to the CTE of typical ICs. Furthermore, the thermal conductivity of silicon also facilitates efficient removal of heat from the ICs and also facilitates the package to be built using standard semiconductor processes. Multiple parts can be fabricated at once, which reduces the cost of production. Finally, while a base substrate of silicon has been illustrated, other substrate materials may also be used. These other materials may include Invar,  
20 quartz, ceramic, or even graphite, for example, depending on tolerance requirements. For some of these other materials fewer circuitry manufacture may be needed. For example, if the base substrate is ceramic, then a dielectric coating may be omitted, depending on the particular ceramic used.

Fig. 4 is a cross section view of the carrier substrate **10** having a thin film interconnect. Layers of thin film interconnect **100**, **102**, **104** and **106** may be added to either one or both of the top surface **12** and the bottom surface **14**, as shown in Fig. 4. One process to apply the additional layers is to coat the carrier substrate **10** with a dielectric **70** such as polyimide, or bisbenzocyclobutene (BCB), or other suitable dielectric. Spray, dip, extrusion or even spin coating application processes may be used to deposit the dielectric **70**.

While four layers of thin film interconnect **100**, **102**, **104** and **106** are shown in Fig. 4, additional layers may be created, or even fewer layers may be created, depending on the interconnection requirements for the end application of the carrier substrate **10**. Each layer may be of the same dielectric material, or may comprise different dielectrics, depending on the application requirements.

For the example carrier substrate **10** of Fig. 4, the cavities **20a** and **20b** are left open. Interconnecting vias **80a** - **80g** may be made through the dielectric layers of the thin film interconnect **100**, **102**, **104** and **106** to electrically connect bonding pads **82a** - **82g** in each layer of the thin film interconnect **100**, **102**, **104** and **106**. To avoid congestion in the drawings, not all interconnecting vias **80** and bonding pads **82** are individually referenced.

Another layer of conductive interconnect may be added to the exposed surfaces of the thin film interconnect layers **102** and **106** to form bonding pads **84a** - **84h** and **86a** - **86g**. The layer may be added, for example, by PVD sputtering followed by photo patterning and plating or blanket metallization and etch back. Metals such as aluminum, copper, or gold may be used as the conductive layers within the thin film interconnect **100**. Other conductive metals or materials may also be used, however. Additional layers of thin film interconnect may be added in a similar



fashion, depending on the complexity of the circuitry to be attached or affixed to the carrier substrate **10**.

Components on the top surface **12** and bottom surface **14** of the carrier substrate **10** may thus be interconnected via multiple layers of thin film interconnect **100**, **102**, **104** and **106** and the conductive vias **30**. Other components can be attached to the bonding pads **84a - 84h** and **86a - 86g** on the top surfaces of the thin film interconnect **102** and **106**. The carrier substrate **10** may be connected or attached to other carrier substrates, printed circuit boards, or other second level packages through the use of gold bumps, solder bumps, or conductive adhesives. Furthermore, because of the conductive vias **30a - 30e** through the carrier substrate **10**, the carrier substrate **10** and attached circuitry may further be stacked on top of other carrier substrates **10** or other circuitry, reducing the x-y footprint of the package and reducing signal latency.

Fig. **5** is a cross section view of the carrier substrate **10** having attached circuitry **140** and **150** above the cavities **20a** and **20b**. The final layer of conductive material on the top and bottom surfaces **12** and **14** of this carrier substrate **10** package is typically pad layers comprising bonding pads **110a - 110h** and **120a - 120d**. The bonding pads **110a - 110h** and **120a - 120d** are fabricated using an appropriate metallurgy or conductive material to make the next level of interconnection. For example, if the ICs or MEMS devices are solder attached to the carrier substrate **10**, the pad layer of bonding pads **110a - 110h** on the top surface **12** of the carrier substrate **10** may have the under bump metallurgy required for a solder attach. The bonding pads **120a - 120d** on the bottom surface **14** of the carrier substrate **10** may have a different metallurgy or conductive material to allow for a different type of attachment or bonding to other devices, e.g. gold bumps or copper bumps **130a - 130d**.

The bonding pads **110a - 110h** and **120a - 120d** on the top and bottom surfaces **12** and **14** of the carrier substrate **10** may be isolated from the underlying thin film circuitry **100** and **104** by an organic dielectric layer such as polyimide or BCB. The bonding pads **110a - 110h** and **120a - 120d** may then be connected to the conductive layers **62a - 62e** and **64a - 64e** through  
5 conductive vias **80a - 80f** in the thin film interconnect **100** and **104**.

In one embodiment, the carrier substrate **10** may facilitate the packaging of MEMS devices and associated ICs operable to communicate with the MEMS devices. As shown in Fig. **5**, for example, a MEMS device **140** may be mounted over the cavity opening **20a** and an ASIC **150** to control the MEMS device **140** is flip chip attached proximate to the MEMS device **140** on  
10 the top surface **12** of the carrier substrate **10** package. While the ASIC **150** is shown as being mounted over the cavity **20b**, the ASIC **150** may instead be mounted over one or more of the vias **30a - 30e**.

In another embodiment, the ASIC **150** may be mounted on the bottom surface **14** of the carrier substrate **10**. In still another embodiment, the ASIC **150** may be mounted directly on the  
15 carrier substrate **10** to conductive layers **62** or **64**, or to other bonding pads on the carrier substrate **10**. The MEMS device **140** is in electrical communication with bonding pads **120a** and **120c** through conductive vias **80a - 80d**, which electrically connect bonding pads **110b** and **110c** to bonding pads **120a** and **120c** through the conductive vias **30a** and **30b**. An appropriate metallurgy for the bonding pads **120a** and **120c** would be implemented to facilitate connection of  
20 the ASIC **150** to the bonding pads **120a** and **120c**.

Fig. **6** is a cross section view of the carrier substrate **10** having circuitry attached inside the cavities **20**. In this embodiment, the top surface **12** of the package **10** may include the cavities **20a** and **20b**, a layer of thin film interconnect **170**, and a pad layer comprising bonding

pads **174a -174h** for solder connection of the MEMS device **140** to the carrier substrate **10**. The bottom surface **14** of the silicon carrier substrate **10** also includes a layer of thin film interconnect **172** and a pad layer comprising bonding pads **176a - 176f** for attachment to another assembly through metallurgy bumps **130a - 130f**.

5           The conductive vias **30b** and **30c** electrically connect circuitry on the top surface **12**, such as the MEMS device **150**, to the bonding pads **176c** and **176d** on the bottom surface **14** of the carrier substrate **10**. The upper and lower surfaces **10** and **12** of both sides of the carrier substrate **10** and the inner surfaces **32a - 32e** of the vias **30a - 30e** are isolated from the first layer of thin film interconnect by a layer of silicon oxide **40**.

10           After the cavities **20a** and **20b** and vias **30a - 30e** are created and the vias **30a - 30e** are isolated and metallized, ICs and components, such as a capacitor **160**, may be placed in the cavities, as shown in Fig. 6. A dielectric film **170** may be placed over the cavities **20a** and **20b** and vias **30a - 30e** for single or multiple layers of thin film interconnect fabrication on both the upper and lower surfaces **12** and **14** of the carrier substrate **10**. The capacitor **160** is a two-  
15   terminal capacitor having the terminals connected to bonding pads **174b** and **174c** through vias **80a** and **80b**. Electrical connections are made from the upper surface **12** to the lower surface **14** by means of the conductive vias **30a - 30e** and vias **80a - 80f** in the thin film interconnect.

          Cavities **20a** and **20b** may be distributed on both sides of the carrier substrate **10**, as shown in Fig. 7. ICs, MEMS devices, or other components may be mounted inside or over the  
20   cavities **20a** and **20b** on both the top and bottom surfaces **12** and **14** of the carrier substrate **10**. In another embodiment, a passive device associated with a MEMS device **140** or IC **150** may be placed in a cavity, such as cavity **20b**, above which the MEMS device **140** or IC **150** is to be mounted. Additional MEMS device **140** or IC **150** may be mounted directly on the carrier

substrate 10 to conductive layers 62 or 64, or to other bonding pads on the carrier substrate 10. Accordingly, the overall footprint of the resulting circuitry is reduced.

In summary, a variety of cavity 20 and conducting via 30 configurations may be implemented in a carrier substrate 10. The vias 30 may be sealed at the top and bottom with  
5 layers of dielectric film. Additional layers of thin film interconnect may be added to the upper and lower surfaces 12 and 14 of the carrier substrate 10. The ICs may be mounted within the cavities 20 or attached to the thin film interconnect to either the upper and lower surfaces 12 and 14. MEMS devices may be mounted over the cavities 20, or, if the MEMS devices may operate properly in a cavity 20, the MEMS device may be mounted in the cavities 20. Passive devices,  
10 such as resistors and capacitors, may also be mounted in the cavities 20, and additional circuitry may further be mounted over the cavities 20 in which the passive devices are mounted.

Additionally, while the cavities 20 and the vias 30 have been illustrated in proximate disposition, vias 30 may also be formed on the bottom surface of one or more cavities 20. For example, a cavity 20 may be formed on the top surface 12 of the carrier substrate 10, and vias 30  
15 may be formed to penetrate from the bottom surface of the cavity 20 to the bottom surface 14 of the carrier substrate 10. Conductive materials may then be deposited on the interior via surfaces 32 so that devices mounted in the cavity 20 on the top surface 12 of the carrier substrate 10 may be in electrical communication with circuitry manufacture deposited on or attached to the bottom surface 14 of the carrier substrate 10. Cavity vias 30 may be formed in the cavity 20 after the  
20 cavity 20 has been formed. Alternatively, the cavity vias 30 may first be formed, and then the cavity 20 may be formed in the area in which the cavity vias 30 are formed.

The devices and methods disclosed herein provide for flexibility in packaging a variety of components that are of different size and thickness, and also allows for combining MEMS

devices with ICs and passive components. The carrier substrate may be fabricated with pads for wire bonding, adhesive attach, solder bumps, or gold bumps on both the top and bottom which allows electrical devices to be interconnected in a variety of ways with other assemblies such as connectors, modules, and printed circuit boards. Thus, the packaging of multiple types of electronic components such as ICs, MEMS, capacitors, and other electronic modules together in one package may be facilitated by the devices and methods disclosed herein.

The embodiments described herein are examples of structures, systems or methods having elements corresponding to the elements of the invention recited in the claims. This written description may enable those of ordinary skill in the art to make and use embodiments having alternative elements that likewise correspond to the elements of the invention received in the claims. The intended scope of the invention thus includes other structures, systems or methods that do not differ from the literal language of the claims, and further includes other structures, systems or methods with insubstantial differences from the literal language of the claims.